

**1 Satellite-observed drop of Arctic sea-ice growth in**  
**2 winter 2015-2016**

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**Key Points.**

- Winter Arctic sea-ice extent record low in 2015-16 associated with a strong drop in thickness
- Volume reduction due to reduced summer multiyear ice replenishment and reduced winter-ice growth
- Reduced first-year ice growth associated with anomalous warm winter 2015-16

3 An anomalous warm winter 2015-16 lead to  
4 the lowest winter ice-extent and highlights the  
5 sensitivity of the Arctic sea ice. Here, we use  
6 the 6-year record of an improved sea-ice thick-  
7 ness product retrieved from data fusion of CryoSat-  
8 2 radar altimetry and SMOS radiometry mea-  
9 surements to examine the impact of recent tem-  
10 perature trend on the Arctic ice-mass balance.  
11 Between November 2015 and March 2016, we  
12 find a consistent drop of cumulative freezing  
13 degree days across the Arctic, with a negative  
14 peak anomaly of about 1000 degree days in  
15 the Barents Sea, coinciding with an Arctic-  
16 wide average thinning of 10 cm in March with  
17 respect to the 6-year average. In particular,

18 the loss of ice volume is associated with a sig-  
19 nificant decline of March first-year ice volume  
20 by 13%. This reveals that due to the loss of  
21 multiyear ice during previous years, the Arc-  
22 tic ice cover becomes more sensitive to climate  
23 anomalies.

## 1. Introduction

24 A record low in Arctic sea-ice maximum winter extent has been observed in 2016, as-  
25 sociated with anomalous high winter air temperatures due to an extreme winter Arctic  
26 cyclone [*Overland and Wang, 2016; Boisvert et al., 2016*]. According to the National  
27 Center for Environmental Prediction (NCEP) monthly reanalysis near-surface air tem-  
28 perature, the mean temperature at  $> 70^{\circ}\text{N}$  during November-March 2015-16 has been at  
29 its highest since 1948, reaching  $-21^{\circ}\text{C}$  (Figure 1a). The near surface air temperature is  
30 the main controlling factor for thermodynamic growth. Therefore, positive temperature  
31 anomalies generally result in lower ice production rates and thinner ice cover in spring.  
32 It is the sea-ice thickness distribution at the beginning of the melting season that is one  
33 of the main drivers for the survivability of sea ice during summer melt. Previous studies  
34 have shown that preconditioning by thinner ice cover substantially contributed to the ice  
35 extent record minimum in September 2012 [*Parkinson and Comiso, 2013*]. The observed  
36 lengthening of the Arctic melt season leads to reduction of September ice extent [*Stroeve*  
37 *et al., 2014*] and prevents the end of summer replenishment of multiyear ice (MYI). This  
38 process results in an ongoing loss of MYI [*Kwok, 2007*] that decreased from about 75% in  
39 the mid 1980s to 45% in 2011 [*Maslanik et al., 2011*] leaving a sea-ice cover more sensitive  
40 to short-term perturbations [*Holland et al., 2006*].

41 Sea-ice thickness affects many climate related processes in the Arctic, such as heat and  
42 momentum exchange, freshwater budget, and ocean circulation, as well as marine safety  
43 [*Nicolaus et al., 2012; Girard-Ardhuin and Ezraty, 2012; Meier et al., 2014; Rabe et al.,*  
44 *2014*]. Hence, monitoring the sea-ice thickness distributions is essential for our under-

standing of the ongoing changes of the Arctic sea ice and their consequences. Over the last years, significant progress has been made in retrieving sea-ice thickness from satellite observations, especially by laser altimetry from ICESat [Kwok *et al.*, 2009] and radar altimetry from the current European Space Agency mission CryoSat-2 (CS2) [Wingham *et al.*, 2006]. Radar altimetry is used to derive sea-ice freeboard that can be transformed into sea-ice thickness by assuming hydrostatic equilibrium [Laxon *et al.*, 2003, 2013]. The sensitivity of this method depends on the magnitude of sea-ice freeboard, thus the relative accuracy is generally lower for young and thin ice (thickness  $< 0.8$  m) compared to thicker MYI. Sea-ice thickness retrievals based on the evaluation of surface emissivity in L-Band as from the Soil Moisture and Ocean Salinity (SMOS) satellite mission nonetheless can be used to create a sea-ice thickness record of thin ice regimes [Kaleschke *et al.*, 2012], where altimetry based results lack of necessary accuracy. With these different data sets, changes in sea-ice thickness can be investigated across the entire sea-ice thickness distribution and quantified in the context of the rapid reduction of the Arctic sea-ice cover.

After a steady decrease from 2010 to 2012, the first three years of CS2 observations, the Arctic sea-ice volume in autumn was substantially larger in 2013 (33%) and 2014 (25%) [Tilling *et al.*, 2015]. Contributing factors were a drop of melting degree days in summer [Tilling *et al.*, 2015] and increased deformation in the Canadian Arctic [Kwok, 2015].

The aim of the present study is to investigate how the Arctic-wide anomalous warm winter temperatures in 2015-16 affected the thermodynamic ice growth and the sea-ice thickness distribution in spring following the positive volume rebound between 2013 and 2015. We use a new merged CS2 and SMOS ice thickness product as well as concentration,

67 displacement, and air temperature anomalies of the previous six years to evaluate the state  
68 of the sea ice in 2016 and the driving factors of sea-ice thickness variability and trends.

## 2. Data and Methods

### 2.1. Merged CS2/SMOS sea-ice thickness and volume

69 An optimal interpolation scheme based on *Böhme and Send* [2005] and *McIntosh* [1990]  
70 is used to merge CS2 and SMOS ice thickness retrievals. A detailed description of the  
71 methodology for combining the CS2 and SMOS data is given by *Ricker et al.* [2017].  
72 Briefly, it allows to merge datasets from diverse sources on a predefined analysis grid,  
73 weighted differently based on the uncertainties of the individual products and modeled  
74 spatial error covariances. [*Kaleschke et al.*, 2015] points out the complementary nature of  
75 the relative errors of CS2 and SMOS ice thickness retrievals. While SMOS sensor data  
76 show low errors over thin ice (thickness  $< 0.8$  m), CS2 relative thickness errors are smaller  
77 over thick and increase over thin ice. Relative SMOS uncertainties are about 50 % for 0.5  
78 m and 100 % for 1 m thick ice. On the other hand, relative CS2 uncertainties are about  
79 40 % for 1 m and 20 % for 2 m thick ice. This is because of the different methodical  
80 approach. SMOS provides brightness temperature observations at L-band, which over sea  
81 ice are sensitive to the thickness, in particular during the freeze up [*Kaleschke et al.*, 2012].  
82 In contrast, the CS2 radar altimeter can be used to measure the sea-ice freeboard, the  
83 height of the ice surface above the water level, which can be converted into sea-ice thickness  
84 assuming hydrostatic equilibrium [*Wingham et al.*, 2006; *Laxon et al.*, 2013; *Ricker et al.*,  
85 2014]. The spatiotemporal coverages of the two products are complementary due to  
86 their different orbital inclinations, geometry, sensor type, and footprint sizes. The SMOS

87 retrieval fills significant spatial gaps that are left by CS2 over ice covered areas in lower  
88 latitudes, like Baffin and Hudson Bay. Moreover, the lack of interannual variability in  
89 the Warren snow climatology [*Warren et al.*, 1999], which is required for the freeboard-to-  
90 thickness conversion, may introduce systematic uncertainties in the CS2 thickness retrieval  
91 in the range of 15 cm (MYI) - 20 cm (FYI) [*Ricker et al.*, 2014]. The SMOS retrieval, on  
92 the other hand, can contribute valuable information, especially in regions with uncertain  
93 snow depth estimates. We also note that CS2 thickness retrievals, which alone contribute  
94 to the MYI thickness, may be substantially biased in regions with a thick snow cover due  
95 to snow volume scattering [*Kwok*, 2014; *Ricker et al.*, 2015; *Armitage and Ridout*, 2015].  
96 Both retrievals leave a data gap between mid April and October due to the limitation of  
97 the CS2 and SMOS thickness retrieval algorithms during the melt season [*Ricker et al.*,  
98 2014].

99 We use weekly means of the Alfred-Wegener-Institute (AWI) CS2 product [*Ricker et al.*,  
100 2014; *Hendricks et al.*, 2016] and the SMOS ice thickness product of the University of  
101 Hamburg [*Tian-Kunze et al.*, 2014]. OSI SAF ice concentration [*Eastwood*, 2012] is applied  
102 in order to only allow ice thickness estimates for an ice concentration of  $> 15\%$ . A weight  
103 matrix is used to combine the individual products on the analysis grid, yielding weekly  
104 sea-ice thickness estimates and corresponding error variances of the Northern Hemisphere.  
105 The merged weekly thickness retrievals, corresponding to calendar weeks, are projected  
106 on a 25 km EASE2 Grid, based on spherical Lambert azimuthal equal-area projection  
107 [*Brodzik et al.*, 2012]. Additionally, we compute a weekly mean ice type estimate derived

108 from OSI SAF [*Eastwood, 2012*] to allow separation between FYI and MYI. The complete  
 109 data record is provided via the *Meereisportal* [*Grosfeld et al., 2016*].

From the merged product, we calculate sea-ice volume by multiplying weekly ice-concentration ( $C$ ) with the weekly merged sea-ice thickness retrieval ( $H$ ). We note that  $H$  is the average of the ice-covered part of each grid cell. The grid cell volumes are summed up, yielding the total sea-ice volume  $V$  and the corresponding uncertainty estimate  $\sigma_V$ :

$$V = A \sum_{i=0}^N C_i H_i, \quad \sigma_V = \sum_{i=0}^N V_i \sqrt{\left(\frac{\sigma_{C_i}}{C_i}\right)^2 + \left(\frac{\sigma_{H_i}}{H_i}\right)^2}. \quad (1)$$

110 The Area  $A$  of a grid cell equals  $625 \text{ km}^2$ . Ice thickness uncertainties  $\sigma_{H_i}$  originate from the  
 111 merged sea-ice thickness product and are represented by the relative error variances scaled  
 112 with observational variances. Furthermore, we assume an ice-concentration uncertainty  
 113 of  $\sigma_{c_i} = 5\%$  to be consistent with *Laxon et al. [2013]*, although we acknowledge that the  
 114 uncertainty may vary depending on the ice concentration [*Ivanova et al., 2014*].

## 2.2. Air temperature

We use NCEP reanalysis derived air temperature at 2 m above surface, provided by the National Oceanic and Atmospheric Administration [*Kalnay et al., 1996*]. The global reanalysis product provides monthly mean temperatures ( $\bar{T}$ ) with a  $2.5^\circ$  grid resolution. Cumulative freezing degree days (FDD) of a month are calculated using:

$$FDD = n_{md} \cdot (-1.8^\circ\text{C} - \bar{T}), \quad (2)$$

115 where  $n_{md}$  represents the number of days of a given month. It is important to note that  
 116 the definition of FDD also considers the magnitude of temperature below the freezing  
 117 point.



### 2.3. Ice drift

Monthly and weekly means of sea-ice drift are obtained from the CERSAT/IFREMER database, derived from the merging of the sea-ice displacement estimated from daily maps of the Advanced Scatterometer (ASCAT) and the Special Sensor Microwave Imager (SSM/I) sensors [*Girard-Ardhuin and Ezraty, 2012*]. Weekly retrievals of  $H$ ,  $C$ , and ice drift ( $D$ ) are used to compute the weekly ice volume flux ( $F_{x,y}$ ) in x and y direction:

$$F_{x,y} = gHCD_{x,y}, \quad (3)$$

118 where  $g=25$  km represents the size of the grid cells. In order to obtain a metric for  
 119 the sea-ice convergence, we compute the volume flux divergence,  $\nabla \cdot F$ , using a 3-point  
 120 Lagrangian interpolation scheme.

### 3. Results

121 In order to obtain a representative thickness distribution for March, we compute the  
 122 mean of three weeks in March for each year. Since the merged product is aligned with  
 123 calendar weeks, we aim to only include the weeks that are fully in March. Here, Fig-  
 124 ure 2a shows the March average 2011-2016 based on the CS2/SMOS observation period  
 125 from 2011-2016. In order to assess regional variabilities, we divide the Arctic Ocean into  
 126 domains using the maritime boundaries from the National Snow and Ice Data Center  
 127 (NSIDC). We then compute the March sea-ice thickness anomalies for each region by  
 128 subtracting the 6-year mean from each March average (Figure 2b). Generally, Sea-ice  
 129 thickness north of Greenland and Canada during March 2011-2013 is thinner by up to 1  
 130 m than the 6-year mean. This is caused by the stark thickness increase in March 2014 of  
 131 up to 2 m, compared to the previous year, that is setting the mean value. The elevated

132 thickness is maintained until March 2015. In March 2016, we observe a substantial ice  
133 thickness drop north of Canada with respect to the March average 2011-2016, effectively  
134 erasing the thickness increase of the seasons 2013 and 2014 compared to the first two  
135 years of observations. Figure 2c illustrates the thickness anomalies for each region defined  
136 in Figure 2a. Beaufort Sea (BS), Chukchi Sea (CS) and the Central Arctic (CA) reveal  
137 similar patterns with a negative anomaly of approximately 30 cm in 2013 following the  
138 summer extent record minimum in September 2012. The increase of sea-ice thickness after  
139 the summer of 2013 is mostly observed in the western Arctic and only the BS thickness  
140 trend continues to be positive in 2015. The lowest variability is shown by the Laptev  
141 Sea (LS), varying between -10 and 9 cm throughout the entire observation record. The  
142 strongest change occurs in March 2016 with a decrease of 75 cm in the Beaufort Sea (BS)  
143 from the highest anomaly in 2015 (+42 cm) to the lowest in 2016 (-33 cm). Other regions  
144 also show noticeably negative anomalies in 2016, such as CS (-21 cm), East Siberian Sea  
145 (ESS) (-12 cm) and Barents Sea (BAS) (-24 cm) while other regions (CA, LS and KS)  
146 exhibit negligible positive anomalies.

147 To put these changes into the context of thermodynamic forcing, we analyze the NCEP  
148 monthly reanalysis air temperature for the winter periods November-March. Figures 1b  
149 shows the winter mean cumulative freezing degree days (FDD) for November-March 2015-  
150 16. The mean winter FDD of major parts in the Arctic Basin range between 3000 and  
151 4500, while BAS mean winter FDD reach below 500. Figure 1c shows the cumulative  
152 FDD as a 6-year mean for winter (2010-11 to 2015-16) and Figure 1d the winter 2015-16  
153 anomaly. The winter season of 2015-16 in the central Arctic Basin is generally warmer

154 than 2010-11 to 2015-16 mean conditions with anomalies, ranging between -200 and -700  
155 FDD, while the BAS exhibits a negative peak anomaly of about 1000 FDD.

156 In order to investigate the thickness anomalies in the context of ice dynamics, we assess  
157 monthly CERSAT/IFREMER mean ice drift of the same winter period from November to  
158 March. Figure 3a and b show the 2015-16 and 2010-11 to 2015-16 mean drift, respectively.  
159 The anomaly in drift magnitude is presented in Figure 3c, for the drift vectors in Figure  
160 3b. The drift magnitude anomaly is dominated by a strong positive anomaly of up to  
161 200 km/month in the Beaufort Gyre along the Canadian and Alaskan coasts. Increased  
162 drift of up to 50 km/month can be observed north of the Fram Strait and minor reduced  
163 ice drift north of Siberia and Greenland. The sea-ice volume flux convergence of 2015-16  
164 is shown in Figure 3d. It is characterized by a zone of volume flux convergence north  
165 of Greenland of up to  $1.5 \text{ km}^2/\text{month}$ , with a sharp margin towards an area of strong  
166 divergence north of Spitsbergen and towards the Fram Strait. The coastal area in the  
167 BS is subject of increased divergence of about  $-1.5 \text{ km}^2/\text{month}$ . The western CA shows  
168 a slight divergence of  $0.2 \text{ km}^2/\text{month}$ . Areas of moderate convergence in the order of  $0.4$   
169  $\text{km}^2/\text{month}$  are indicated in the CS and ESS.

170 The overall sea-ice conditions are assessed by Arctic wide sea-ice volume in Figure 4.  
171 Figure 4a shows the seasonal evolution of total ice volume from November to March. Sea-  
172 ice volume in November ranges between 6 and  $10 \cdot 10^3 \text{ km}^3$ , and reaches its maximum in  
173 March between 15 and  $18 \cdot 10^3 \text{ km}^3$ . The increase of sea-ice volume is mostly driven by first-  
174 year ice growth as seen by separate volume estimates for FYI and MYI (Figure 4b). We  
175 find that the MYI volume exhibits almost no seasonal pattern over winter and shows little

176 increase. In agreement with Figure 2, the MYI volume shows decrease from 2010-2013  
177 and a rebound in 2013-2014. FYI volume, in contrast, shows substantial larger increase  
178 during winter as well as pronounced difference in total volume gain between the years. The  
179 average of March 2016 ( $8.7 \cdot 10^3 \text{ km}^3$ ) is the lowest FYI volume of the observation record,  
180 coinciding with the lowest FDD (3170) cumulated from November to March and spatially  
181 averaged over FYI (Figure 4c). Moreover, the decrease of FYI volume between March  
182 2015 and 2016 is the largest observed drop between two years ( $1.9 \cdot 10^3 \text{ km}^3$ ). Additionally  
183 to the thickness, ice concentration contributes to the ice volume estimate and needs to be  
184 considered for interpretation of the 2015-2016 anomalies. The ice concentration anomaly  
185 in Figure 4d reveals a reduction in the BAS of about 50 % or more end of March 2016,  
186 compared to the 6-year mean for this day.

## 4. Discussion

### 4.1. Sea-ice thickness and volume drop during winter 2015-16 in the context of interannual variability

187 Sea-ice thickness shows a substantial spatial and interannual variability. This variability  
188 is driven by dynamics and thermodynamics [*Zhang et al.*, 2000; *Kwok and Cunningham*,  
189 2016] and reaches up to about 30 % of the climatological thickness in March. Figure 1  
190 suggests strong coherency in the CA, BS, and CS where thickness decreases from 2011-  
191 2013 and then substantially increases in 2014. This increase has been discussed in previous  
192 works as a result of an anomalous cold summer in 2013 [*Tilling et al.*, 2015], and increased  
193 convergence towards the Archipelago, resulting in highly deformed and thicker ice [*Kwok*,  
194 2015]. The extended observational record shows that elevated thickness levels last until

195 March 2015 and then steeply drop in the following year. This drop is mostly visible in  
196 the low FYI volume increase (Figure 4b) over the winter 2015-2016, associated with the  
197 anomalous warm air temperatures and a decrease of March MYI thickness north of the  
198 Canadian Archipelago (Figure 1b). Here, we do not expect a significant impact of the  
199 increased winter temperatures, since the thermodynamic growth of snow-covered thick ice  
200 ( $>2\text{m}$ ) is negligible [*Semtner Jr, 1976; Leppäranta, 1993*]. However, another driver for the  
201 net ice mass loss in March 2016 seems to be a reduced MYI volume in autumn 2015 as the  
202 sea-ice thickness observation record shows that MYI volume was mostly lower in the winter  
203 of 2015-16, compared to the previous year (Figure 4b). Plausible explanations for the MYI  
204 volume reduction are an increase in ice export combined with higher summer melt rates  
205 in 2015. Unfortunately, basin-scale summer ice-volume estimates are unavailable and ice  
206 export estimates through Fram Strait have not been reported after 2014 [*Krumpen et al.,*  
207 *2016; Smedsrud et al., 2016*]. It is nevertheless clear that above average FYI volume of  
208  $10.6 \cdot 10^3 \text{ km}^3$  in March 2015 was not sufficient to replenish MYI to levels of the previous  
209 year after the 2015 melt season.

210 The observed minor trends of MYI volume throughout the winter season combined with  
211 distinct offsets between years indicate that processes in summer are the main drivers of  
212 MYI volume change. The summer of 2013 with favorable conditions for MYI replenish-  
213 ment creates a buffering effect for MYI thickness with departures from mean conditions  
214 that lasts for two years or even longer. Nevertheless, in a more seasonal Arctic ice cover,  
215 this buffering effect can be countered effectively in individual summers with favorable  
216 melting conditions combined with storm events [*Zhang et al., 2013*], illustrating the en-

217 hanced sensitivity to external forcing [*Holland et al.*, 2006]. Currently, it is difficult to  
218 assess these processes due to the gap in observational capability of basin-scale summer  
219 sea-ice thickness.

220 In FYI dominated regions, mean thickness in March varies on shorter spatial scales  
221 without significant trends. Especially, the LS, KS and BAS regions show alternating pat-  
222 terns of positive and negative anomalies, while the March LS thickness remains almost  
223 invariant. The low variation in LS ice thickness can be explained with the characterizing  
224 large extent of undeformed land-fast ice. Its thickness is determined by the thermody-  
225 namic ice growth only and therefore exhibits low interannual variability in mid-winter  
226 [*Eicken et al.*, 2005; *Selyuzhenok et al.*, 2015]. Alternating anomalies in the eastern Arc-  
227 tic are coherent and thus suggesting an external forcing. Ice formation in these regions  
228 does happen only in winter since the eastern Arctic has mostly been ice free during the  
229 annual minimum of the last years. FYI volume is similar for all years in November, while  
230 the following March volumes show a larger spread. Therefore, besides summer melt, the  
231 growth of FYI over the winter season is the second main driver of recent Arctic sea-ice  
232 volume and its changes.

## 4.2. Contributing factors to the thickness and volume anomaly in 2015-16

233 Attribution of the processes governing the variability and short term trends in the  
234 Arctic sea-ice mass balance is limited by uncertainties and the spatiotemporal resolution  
235 in the remote sensing data sets, though first partitions of the thermodynamic and dynamic  
236 processes is being investigated [*Kwok and Cunningham*, 2016].

237 The positive temperature anomaly coincides with the lowest March FYI volume in  
238 2016 (Figure 1 and 4 ). We find an average decline of 378 cumulative FDD across the  
239 Arctic for 2015-16 compared to the 6-year mean. The negative peak anomaly in the  
240 BAS represents a reduction of FDD by roughly 60 % compared to the 6-year average in  
241 this region. Applying a simple ice growth model [*Anderson, 1961*], assuming a constant  
242 of proportionality between ice and snow thickness increase of 0.13 and an atmospheric  
243 heat transfer coefficient of  $45 \text{ Wm}^{-2}\text{K}^{-1}$ , we obtain a FYI thickness decrease of 40 cm.  
244 This value is larger than the observed FYI thickness reduction (24 cm) in the BAS in  
245 2016 (Figure 1), but roughly comparable. Additionally, The reduction in thickness is  
246 accompanied by a decrease in ice concentration of about 50 % (Figure 4d), leading to  
247 an ice-free area north of Spitsbergen. Our findings in the BAS are in agreement with  
248 *Boisvert et al.* [2016], which focusses on the impact of the extreme winter 2015-16 Arctic  
249 cyclone on the Barents and Kara Sea. They found a decrease in sea-ice concentration and  
250 suggest potential melt of 10 cm in December/January.

251 Considering the ice motion, we note that a strong Beaufort Gyre drift regime coincides  
252 with substantial thinning in the coastal BS in March (Figure 2 and 3). This is probably a  
253 positive feedback between drift and thickness, as the drift increase is driven by a combi-  
254 nation of wind forcing and a thinner and more mobile ice pack [*Spreen et al., 2011; Petty*  
255 *et al., 2016*]. We expect that these high drift rates also lead to a faster and more effective  
256 transport of MYI from the region north of the Canadian Archipelago into the Chukchi  
257 Sea, which may stimulate MYI loss in 2015-16. However, it seems that as a consequence  
258 of the strong Beaufort Gyre, increased ice volume flux divergence contributes to a thinner

259 and thus more vulnerable ice cover. This might result in an early breakup in the BS  
260 during the melt season. In contrast, we find an area of thickening north of Greenland and  
261 towards the Fram Strait (Figure 2b). Considering the ice drift, Figure 3d suggests that  
262 the thicker ice in this area is associated with increased ice volume flux convergence.

263 The Arctic-wide comparison between FDD over FYI, cumulated in winter, and corre-  
264 sponding FYI volume gain shows correlation between the two parameters (Figure 4c).  
265 This linkage suggests that the near-surface air temperature is a driver of FYI volume  
266 growth variability in winter. For both parameters, lowest values are shown for 2015-16  
267 (Figure 4c). However, on regional scale, the linkage between FDD and FYI thickness and  
268 volume anomalies can be masked by ice dynamical processes as described above.

269 In order to separate ice dynamics and thermodynamics we applied a backtracking ap-  
270 proach after *Krumpen et al.* [2016]. We have chosen an endpoint on the 30 April at 81.0N  
271 und 37.0E, located in the northern part of the FDD anomaly that we have observed in  
272 March 2016 (Figure S1a). From this point, Lagrangian backtracking is applied to investi-  
273 gate the path of the sea ice during the freezing season. Figure S1b shows the trajectories  
274 of the ice floes for each season back to the freeze-up in autumn. In 2010-11, sea ice  
275 survived the summer melt. Hence, the starting point is not shown and the trajectory  
276 is truncated in September 2010. Figure S1c shows the corresponding sea-ice thickness  
277 and cumulative FDD along the trajectories. In 2013-14, when freeze-up takes place in  
278 September, the FDD value at the end of March exceeds the value found during other  
279 seasons when freeze-up is delayed. In contrast, in 2015-16, ice is formed at the end of  
280 February, similar to 2011/12. Hence, we conclude that due to the delay of the freeze-up



281 in the BAS, corresponding with a decrease in FDD, sea ice is thinner in spring 2015-16.  
282 This provides evidence that the thickness anomaly in the Barents Sea domain is primarily  
283 driven by thermodynamic processes.

284 The interannual variability of ice mass balance from radar altimetry may be impacted  
285 by the currently unknown interannual variability of the snow depth and its potential influ-  
286 ence on freeboard retrieval [*Ricker et al.*, 2015; *Armitage and Ridout*, 2015]. The partially  
287 high thickness and volume uncertainties reflect these error sources, and together with the  
288 short observation record, they compromise the statistical significance of the thickness and  
289 volume anomalies. However, we acknowledge potential incompleteness of the uncertainty  
290 estimates. Therefore, the understanding of FYI processes can be improved by merging  
291 altimetry-based datasets with complementary observations by L-Band radiometry. The  
292 latter have a higher sensitivity towards thinner sea ice and thus provide a better observa-  
293 tional database of thermodynamic processes that impact the Arctic sea-ice mass balance  
294 as in the winter of 2015-2016.

## 5. Conclusion

295 Sea-ice thickness observations from CryoSat-2 and SMOS have shown that sea-ice vol-  
296 ume in spring 2016 has dropped to levels of 2012, effectively countering a volume gain  
297 that started after the summer of 2013 and lasted until spring 2015 in multiyear ice re-  
298 gions. On the one hand, our findings suggest preconditioning by a substantial loss of ice  
299 mass during summer 2015, preventing the replenishment of multiyear ice in autumn. On  
300 the other hand, anomalous warm air temperatures in the winter season 2015-16 result  
301 in a significant drop of cumulative freezing degree days (FDD) across the Arctic with a

302 negative anomaly of -1000 FDD in the Barents Sea between November and March 2015-  
303 16. We suggest that this temperature increase lead to reduced ice growth and therefore  
304 to a generally thinner ice cover in March compared to the 6-year mean, preconditioning  
305 the record low of Arctic sea-ice maximum winter extent. At the same time, our results  
306 highlight the importance of winter sea-ice growth as a key component for sea-ice mass  
307 balance studies and to assess changes and variability of the Arctic ice cover. Compared to  
308 the 6-year average, we find a mean ice thickness decrease of 10 cm in March 2016 across  
309 the Arctic, with maxima of 33 cm in the Beaufort Sea and 24 cm in the Barents Sea.  
310 These regional thickness anomalies result from an interplay between ice dynamics and  
311 thermodynamics. While the Barents Sea thinning seems to be a result of a temperature  
312 increase, thickness reduction in the Beaufort Sea seems to be associated to ice volume  
313 flux divergence. This is due to an ice-drift anomaly of up to +200 km/month in the  
314 Beaufort Gyre, favoring early breakup in the Beaufort Sea. Nevertheless, an Arctic-wide  
315 assessment of winter FDD and corresponding first-year ice volume gain indicates a linkage  
316 between near-surface winter air temperature and spring first-year ice volume, revealing  
317 the lowest values for both parameters in 2015-16. Our study points out that the Arctic  
318 ice cover is getting more and more sensitive to climate anomalies as first-year ice replaces  
319 multi-year ice, which shows little change over the winter seasons, whereas first-year ice  
320 is more sensitive to changes in the thermodynamic forcing during winter. However, fu-  
321 ture work with coupled dynamic-thermodynamic sea ice models is needed to be able to  
322 quantitatively separate the effect of dynamic and thermodynamic processes.

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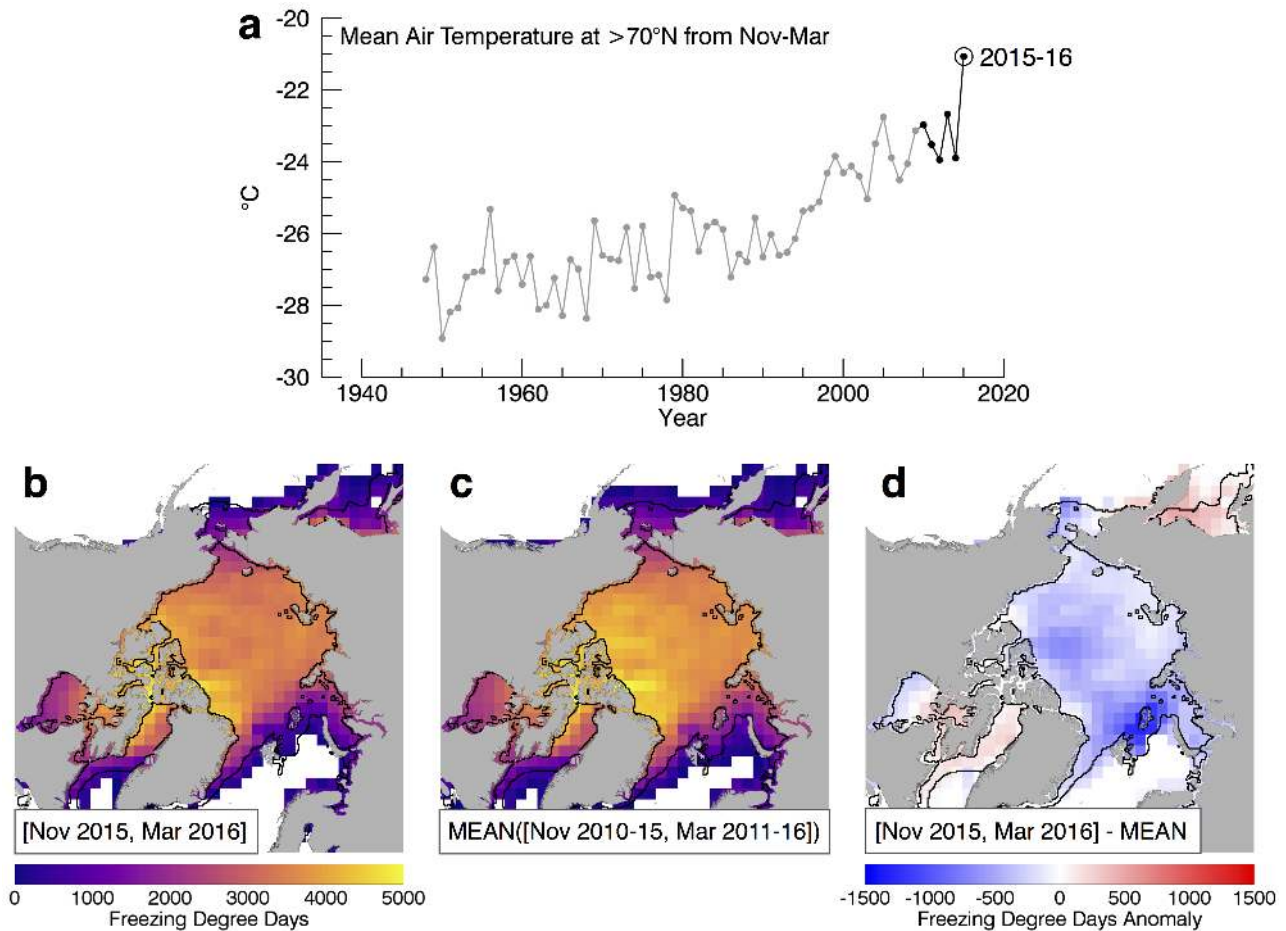
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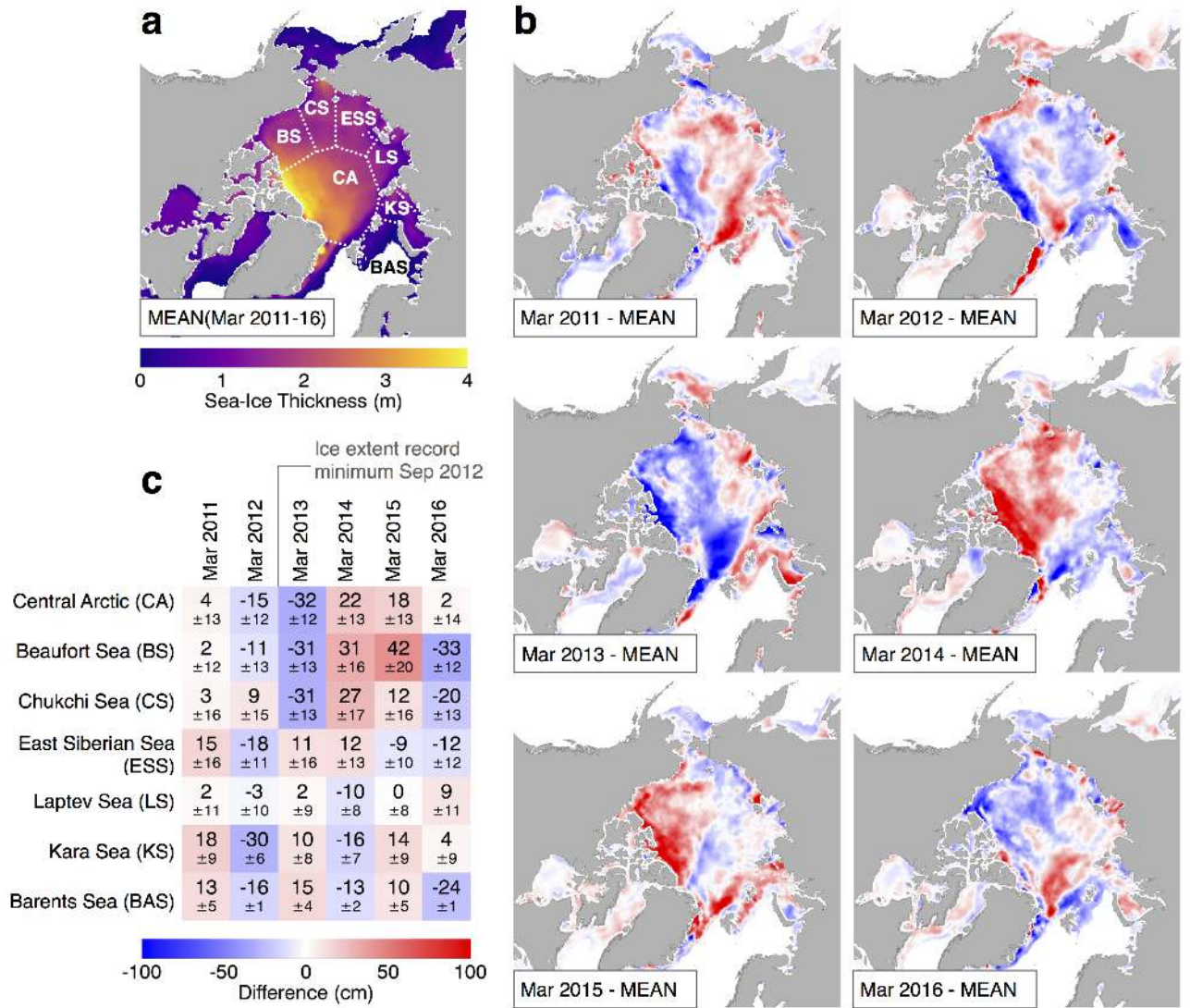
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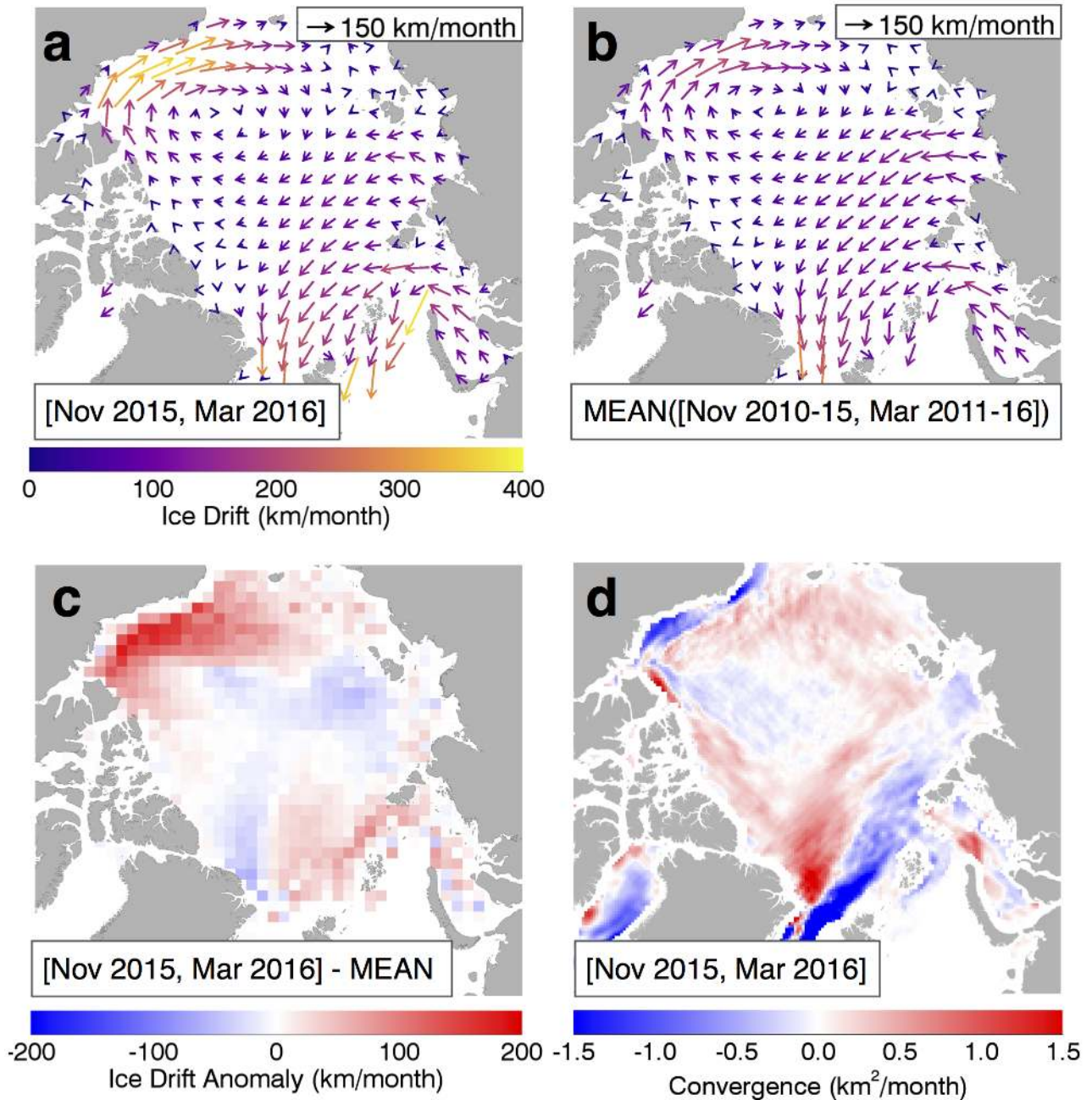
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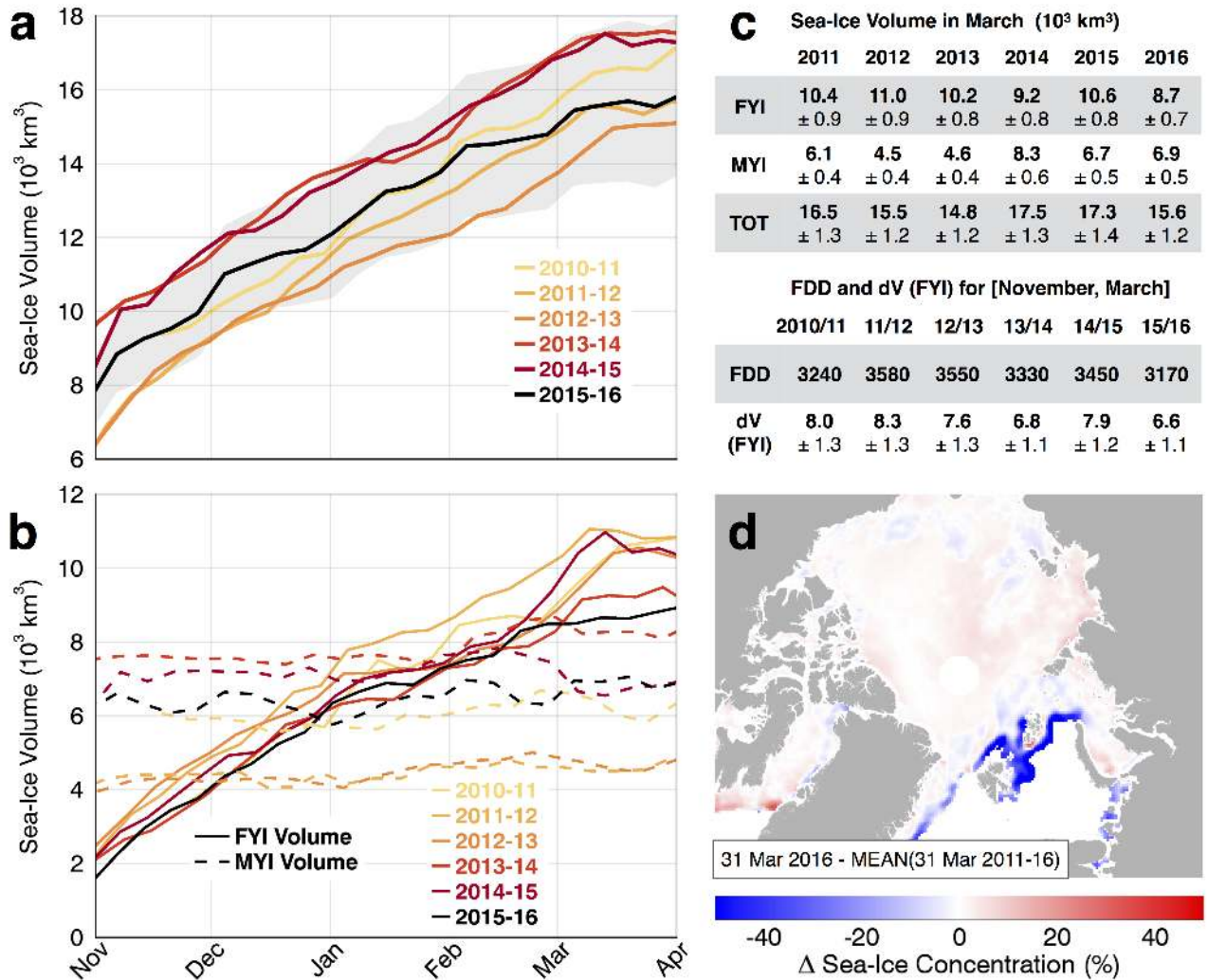
**Figure 1.** a) Mean air temperatures above  $70^{\circ}\text{N}$  during November-March, 1948-2016, derived from the National Centers for Environmental Prediction (NCEP) monthly reanalysis air temperature, 2 m above surface. b) Mean Cumulative freezing degree days (FDD) calculated from NCEP air temperature data for November-March, 2015-16. c) Winter average of FDD for November-March, 2010-11 to 2015-16. d) Winter 2015-16 compared to the winter mean. The black line highlights the mean ice edge during March 2016.



**Figure 2.** Merged CryoSat-2/SMOS sea-ice thickness anomaly for a mean of 3 weeks in March according to the March thickness averaged over 2011-2016: a) March average over 2011-2016, subdivided into maritime boundaries provided by NSIDC via MAISIE. b) Yearly March anomalies. c) Mean anomalies and uncertainties of each year according to the March 2011-2016 mean with respect to the marine domains defined in a).



**Figure 3.** CERSAT/IFREMER sea-ice drift, averaged over November-March 2015-16 (a), and winter ice drift averages for November-March, 2010-11 to 2015-16 (b). c) Ice drift magnitude anomaly of November-March 2015-16. For better visualization in (a-c), ice drift data are resampled on a 200 km grid. d) Ice volume flux convergence of November-March 2015-16. Positive values indicate convergence, while negative values indicate divergence.



**Figure 4.** a) Northern Hemisphere total sea-ice volume for 2010-11 to 2015-16. Ice volume data stop end of March because ice thickness cannot be retrieved during melting. The grey shadowed area represents the ice-volume uncertainty for 2015-16. b) First- and multiyear ice (FYI/MYI) volume contributions to the total volume. c) March ice volume averages and corresponding uncertainties from 2011-2016, as well as spatially averaged FDD over FYI, cumulated from November to March, and corresponding sea-ice volume gain (dV). d) Sea-ice concentration anomaly for the 31st of March 2016 with respect to the average of the 6-year record.